## Circles in the spectrum and numerical ranges

## Vladimir Müller

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## joint work with Yu. Tomilov, IM PAN, Warsaw

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(*i*) supp  $\mu = \mathbb{T}$ ; (*ii*) for every  $\varepsilon > 0$  there exists a function  $f \in L^{1}(\mu)$ ,  $||f||_{1} = 1$ ,

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#### Theorem

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## Let $T_1, \ldots, T_n \in B(H)$ .

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Let  $T_1, \ldots, T_n \in B(H)$ . The joint numerical range is defined by

 $W(T_1,\ldots,T_n) = \left\{ (\langle T_1 x, x \rangle, \ldots, \langle T_n x, x \rangle) : x \in H, \|x\| = 1 \right\}$ 

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We write shortly  $\mathcal{T} = (T_1, \dots, T_n) \in B(H)^n$ . For  $x, y \in H$  write

$$\langle \mathcal{T}\mathbf{x},\mathbf{y}\rangle = (\langle \mathcal{T}_1\mathbf{x},\mathbf{y}\rangle,\ldots,\langle \mathcal{T}_n\mathbf{x},\mathbf{y}\rangle) \in \mathbb{C}^n$$

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Let  $\mathcal{T} = (T_1, \dots, T_n) \in B(H)^n$ . The essential numerical range is defined by

 $W_{e}(\mathcal{T}) = \left\{ \lambda \in \mathbb{C}^{n} : \text{there exists an orthonomal sequence} 
ight.$ 

$$(\mathbf{x}_k) \subset H$$
 such that  $\lambda = \lim_{k \to \infty} \langle \mathcal{T} \mathbf{x}_k, \mathbf{x}_k \rangle$ 

If n = 1 then: W(T) is convex

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If  $n \ge 2$  then in general  $W(T_1, ..., T_n)$  is not convex  $W_e(T_1, ..., T_n)$  is convex (Li, Poon 2009)

(Wrobel 1988)  $\mathcal{T} = (T_1, \dots, T_n) \in B(H)^n$  commuting operators then

$$\operatorname{conv} \sigma(T_1,\ldots,T_n) \subset \overline{W(T_1,\ldots,T_n)}$$

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Moreover, if  $\mu \in \text{Int}(W_e(\mathcal{T}))$  then for every subspace  $M \subset H$  of a finite codimension there exists  $x \in M$  such that ||x|| = 1 and

$$(\langle T_1 \mathbf{x}, \mathbf{x} \rangle, \dots, \langle T_n \mathbf{x}, \mathbf{x} \rangle) = \mu.$$

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Corollary

Let 
$$\mathcal{T} = (T_1, \ldots, T_n) \in \mathcal{B}(\mathcal{H})^n$$
. Let  $\mu \in \text{Int}(W_e(\mathcal{T}))$ .

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#### Corollary

Let  $\mathcal{T} = (T_1, \ldots, T_n) \in B(H)^n$ . Let  $\mu \in \text{Int}(W_e(\mathcal{T}))$ . Then there exists an infinite-dimensional subspace  $L \subset H$ 

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$$P_L T_j P_L = \mu_j P_L \qquad (j = 1, \ldots, n).$$

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Equivalently, Int  $(W_e(\mathcal{T})) \subset W_{\infty}(\mathcal{T})$ .

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Let  $\mathcal{T} \in B(H)^n$ . Then  $W_{\infty}(\mathcal{T})$  is the set of all  $\lambda \in \mathbb{C}^n$  for which there exists an infinite-dimensional subspace  $L \subset H$  such that

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 $W_{\infty}(\mathcal{T})$  is always convex it may be empty if  $W_{\infty}(\mathcal{T}) = \emptyset$  then the *n*-tuple  $\mathcal{T}$  is "degenerated"

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## Theorem

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### Theorem

Let  $\mathcal{T} \in B(H)^n$ . Then (i)  $W_e(\mathcal{T}) = \bigcup_{\mathcal{K} \in \mathcal{K}(H)^n} W_{\infty}(\mathcal{T} + \mathcal{K})$ 

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## Theorem

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(i) 
$$W_{e}(\mathcal{T}) = \bigcup_{\mathcal{K} \in \mathcal{K}(H)^{n}} W_{\infty}(\mathcal{T} + \mathcal{K})$$

(ii) there exists an n-tuple  ${\mathcal K}$  of compact operators such that

$$W_{e}(\mathcal{T}) = \overline{W_{\infty}(\mathcal{T} + \mathcal{K})}$$

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Let  $\mathcal{T} \in B(H)^n$ . Then

# $\mathsf{Int}\,\mathsf{conv}\,\big(\mathit{W}_{e}(\mathcal{T})\cup\sigma_{p}(\mathcal{T})\big)\subset \mathit{W}(\mathcal{T})$

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Let  $\mathcal{T} \in B(H)^n$ . Then

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# Corollary

Let  $\mathcal{T} = (T_1, \dots, T_n) \in B(H)^n$  be a commuting tuple. Then

 $\operatorname{Int}\operatorname{conv}\sigma(\mathcal{T})\subset \mathit{W}(\mathcal{T})$ 

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Let  $T \in B(H)$  and let  $\lambda \in \text{Int } \hat{\sigma}(T)$ .

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# Let $T \in B(H)$ and let $\lambda \in \text{Int } \hat{\sigma}(T)$ . Then

$$(\lambda, \lambda^2, \ldots, \lambda^n) \in \operatorname{Int}(W_e(T, T^2, \ldots, T^n)).$$

for all  $n \in \mathbb{N}$ .

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# Let $T \in B(H)$ , $0 \in Int \hat{\sigma}(T)$ .

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Let  $T \in B(H)$ ,  $0 \in \text{Int } \hat{\sigma}(T)$ . Then for every  $n \in \mathbb{N}$  there exists a unit vector  $x \in H$  such that

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 $|\langle T^m \mathbf{x}, T^j \mathbf{x} \rangle| < \varepsilon, \qquad 1 \le m, j \le n-1, m \ne j,$ 

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and  $\frac{1}{2} \le ||T^{j}x|| \le 2$ ,  $0 \le j \le n-1$ ;

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$$\begin{split} \mathbf{x} \perp \mathbf{T}\mathbf{x}, \mathbf{T}^2 \mathbf{x}, \dots, \mathbf{T}^{n-1} \mathbf{x}, \\ |\langle \mathbf{T}^m \mathbf{x}, \mathbf{T}^j \mathbf{x} \rangle| < \varepsilon, & 1 \le m, j \le n-1, m \ne j, \\ \mathbf{1} - \varepsilon < \|\mathbf{T}^j \mathbf{x}\| < \mathbf{1} + \varepsilon, & 1 \le j \le n-1, \end{split}$$

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Let  $T \in B(H)$  and let  $T^n \rightarrow 0$  in the weak operator topology.

Vladimir Müller Circles in the spectrum and numerical ranges

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Vladimir Müller Circles in the spectrum and numerical ranges

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Vladimir Müller Circles in the spectrum and numerical ranges

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Let T be a unitary operator on H such that  $T^n \rightarrow 0$  in the weak operator topology.

Vladimir Müller Circles in the spectrum and numerical ranges

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Let *T* be a unitary operator on *H* such that  $T^n \rightarrow 0$  in the weak operator topology. Then the following conditions are equivalent. (*i*)  $\sigma(T) = \mathbb{T}$ .

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Let T be a unitary operator on H such that  $T^n \to 0$  in the weak operator topology. Then the following conditions are equivalent. (i)  $\sigma(T) = \mathbb{T}$ . (ii) for every  $\varepsilon > 0$  there exists  $x \in H$ , ||x|| = 1, with

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(Bourin 2003) Let  $T \in B(H)$ . Suppose that  $W_e(T) \supset \mathbb{D}$ . Then for every strict contraction C on a separable Hilbert space (i.e.,  $\|C\| < 1$ )

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(Bourin 2003) Let  $T \in B(H)$ . Suppose that  $W_e(T) \supset \mathbb{D}$ . Then for every strict contraction C on a separable Hilbert space (i.e.,  $\|C\| < 1$ ), there exists a subspace  $L \subset H$  such that the compression  $T_L$  is unitarily equivalent to C.

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# Let $T \in B(H)$ , $\mathbb{D} \subset \hat{\sigma}(T)$ , let $n \in \mathbb{N}$ . Then for every strict contraction C'

Vladimir Müller Circles in the spectrum and numerical ranges

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Let  $T \in B(H)$ ,  $\mathbb{D} \subset \hat{\sigma}(T)$ , let  $n \in \mathbb{N}$ . Then for every strict contraction C' there exists a subspace  $L \subset H$  and  $C \in B(L)$  unitarily equivalent to C' such that

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for j = 1, ..., n.

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# Let $T \in B(H)$ , $T^n \to 0$ (WOT), $\sigma(T) \supset \mathbb{T}$ , let $\varepsilon > 0$ .

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Let  $T \in B(H)$ ,  $T^n \to 0$  (WOT),  $\sigma(T) \supset \mathbb{T}$ , let  $\varepsilon > 0$ . Then for every strict contraction C' there exists a subspace  $L \subset H$  and  $C \in B(L)$  unitarily equivalent to C'

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$$\lim_{j\to\infty}\|(T^j)_L-C^j\|=0$$

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# THANK YOU FOR YOUR ATTENTION

Vladimir Müller Circles in the spectrum and numerical ranges

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