

# Median Eigenvalues of Graphs

Bojan Mohar

Simon Fraser University (Canada) and IMFM (Slovenia)

(partly joint work with Behruz Tayfeh-Rezaie)

June 2014

# Graph eigenvalues

$G$  graph

vertex-set  $V = V(G)$ , edge-set  $E = E(G)$ ,  $n = |V|$

Adjacency matrix:  $A = A(G) = (a_{uv})_{u,v \in V}$

where  $a_{uv} = 1$  if  $u \sim v$  and  $a_{uv} = 0$  if  $u \not\sim v$ .

# Graph eigenvalues

$G$  graph

vertex-set  $V = V(G)$ , edge-set  $E = E(G)$ ,  $n = |V|$

**Adjacency matrix:**  $A = A(G) = (a_{uv})_{u,v \in V}$

where  $a_{uv} = 1$  if  $u \sim v$  and  $a_{uv} = 0$  if  $u \not\sim v$ .

---

**Eigenvalue of  $G$ :** eigenvalue of  $A = A(G)$ ;  $Ax = \lambda x$  ( $x \neq \mathbf{0}$ )

All eigenvalues are real

$$\lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_n$$

and  $\sum_{i=1}^n \lambda_i = 0$  (since  $\text{tr}(A) = 0$ ).

# Hückel theory

**Hückel Theory:** Eigenvalues of a molecular graph correspond to energy levels of electrons (two electrons per each energy level), and eigenvectors give descriptions of molecular orbitals.

---

Fowler & Pisanski (2010):

**HL-index:**  $R(G) = \max\{|\lambda_H|, |\lambda_L|\}$

where  $H = \lfloor \frac{n+1}{2} \rfloor$  and  $L = \lceil \frac{n+1}{2} \rceil$ .

---

**Question:** What is the largest value of  $R(G)$  taken over all “chemically relevant graphs”?

# Median eigenvalues of subcubic graphs

Subcubic graph: maximum degree  $\leq 3$

---

Theorem (M. 2012+)  $G$  subcubic  $\Rightarrow$   $R(G) \leq \sqrt{2}$

Proof by combinatorial techniques and interlacing.

# Median eigenvalues of subcubic graphs

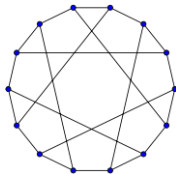
**Subcubic graph:** maximum degree  $\leq 3$

---

**Theorem (M. 2012+)**  $G$  subcubic  $\Rightarrow$   $R(G) \leq \sqrt{2}$

Proof by combinatorial techniques and interlacing.

**Heawood graph** attains the maximum value,  $R(H) = \sqrt{2}$ .



# Bipartite graphs

$$R(G) = \min |\lambda_i(G)|$$

---

## Bipartite graphs

$$R(G) = \min |\lambda_i(G)|$$

---

**Theorem (M. 2014)**  $G$  (connected) subcubic bipartite and not isomorphic to Heawood  $\Rightarrow R(G) \leq 1$

---

**Theorem (M. 2014)**  $\exists c > 0: \forall G$  connected subcubic bipartite and not isomorphic to Heawood  $\Rightarrow$

$G$  has  $\lceil cn \rceil$  median eigenvalues in  $[-1, 1]$

---



## Bipartite graphs

$$R(G) = \min |\lambda_i(G)|$$

---

**Theorem (M. 2014)**  $G$  (connected) subcubic bipartite and not isomorphic to Heawood  $\Rightarrow$   $R(G) \leq 1$

---

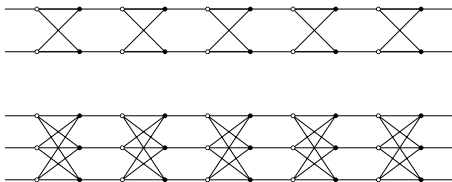
**Theorem (M. 2014)**  $\exists c > 0: \forall G$  connected subcubic bipartite and not isomorphic to Heawood  $\Rightarrow$

$G$  has  $\lceil cn \rceil$  median eigenvalues in  $[-1, 1]$

---

**Theorem (Guo, M. 2013)**  $\exists$  infinitely many connected cubic bipartite graphs with  $R(G) = 1$

## Examples



$$\sigma(Z_k) = \{-1, 1\} \cup [k-1, k+1] \cup [-k-1, -k+1]$$

Two intervals, each with total spectral measure equal to  $\frac{1}{2k}$   
 $\pm 1$ , each have spectral measure equal to  $\frac{1}{2} - \frac{1}{2k}$

**Theorem (M., Tayfeh-Rezaie 2014+)**  $G$  connected bipartite, max degree  $\Delta \geq 3$ . Then

$$R(G) \leq \sqrt{\Delta - 2}$$

except when  $G$  is the the incidence graph of a projective plane of order  $\Delta - 1$ , in which case  $R(G) = \sqrt{\Delta - 1}$ .

## Proof - regular case

▶  $|V(G)| = 2n$ ,  $A(G) = \begin{bmatrix} 0 & B \\ B^T & 0 \end{bmatrix}$

## Proof - regular case

- ▶  $|V(G)| = 2n$ ,  $A(G) = \begin{bmatrix} 0 & B \\ B^T & 0 \end{bmatrix}$
- ▶  $R(G) > \sqrt{k-2} \Rightarrow \forall \lambda_i = \lambda_i(G) : \lambda_i^2 > k-2$

## Proof - regular case

- ▶  $|V(G)| = 2n$ ,  $A(G) = \begin{bmatrix} 0 & B \\ B^T & 0 \end{bmatrix}$
- ▶  $R(G) > \sqrt{k-2} \Rightarrow \forall \lambda_i = \lambda_i(G) : \lambda_i^2 > k-2$
- ▶ If  $E = BB^T - kI$ , then  $\lambda_i(E) > -2 \Rightarrow E + 2I$  is PD
- ▶ Diagonal entries of  $E + 2I$  are 2  $\Rightarrow$   
(by PD) all off-diagonal entries are 0 or 1

## Proof - regular case

- ▶  $|V(G)| = 2n$ ,  $A(G) = \begin{bmatrix} 0 & B \\ B^T & 0 \end{bmatrix}$
- ▶  $R(G) > \sqrt{k-2} \Rightarrow \forall \lambda_i = \lambda_i(G) : \lambda_i^2 > k-2$
- ▶ If  $E = BB^T - kI$ , then  $\lambda_i(E) > -2 \Rightarrow E + 2I$  is PD
- ▶ Diagonal entries of  $E + 2I$  are 2  $\Rightarrow$   
(by PD) all off-diagonal entries are 0 or 1  $\Rightarrow$   
 $E = A(H)$  and has min eigenvalue  $> -2$

## Proof - regular case

- ▶  $|V(G)| = 2n$ ,  $A(G) = \begin{bmatrix} 0 & B \\ B^T & 0 \end{bmatrix}$
- ▶  $R(G) > \sqrt{k-2} \Rightarrow \forall \lambda_i = \lambda_i(G) : \lambda_i^2 > k-2$
- ▶ If  $E = BB^T - kI$ , then  $\lambda_i(E) > -2 \Rightarrow E + 2I$  is PD
- ▶ Diagonal entries of  $E + 2I$  are 2  $\Rightarrow$   
(by PD) all off-diagonal entries are 0 or 1  $\Rightarrow$   
 $E = A(H)$  and has min eigenvalue  $> -2$
- ▶ Since  $E\mathbf{j} = (BB^T - kI)\mathbf{j} = (k^2 - k)\mathbf{j}$ ,  $H$  is regular



## Proof - regular case

- ▶  $|V(G)| = 2n$ ,  $A(G) = \begin{bmatrix} 0 & B \\ B^T & 0 \end{bmatrix}$
- ▶  $R(G) > \sqrt{k-2} \Rightarrow \forall \lambda_i = \lambda_i(G) : \lambda_i^2 > k-2$
- ▶ If  $E = BB^T - kI$ , then  $\lambda_i(E) > -2 \Rightarrow E + 2I$  is PD
- ▶ Diagonal entries of  $E + 2I$  are 2  $\Rightarrow$   
(by PD) all off-diagonal entries are 0 or 1  $\Rightarrow$   
 $E = A(H)$  and has min eigenvalue  $> -2$
- ▶ Since  $E\mathbf{j} = (BB^T - kI)\mathbf{j} = (k^2 - k)\mathbf{j}$ ,  $H$  is regular
- ▶  $G$  connected  $\Rightarrow H$  is connected
- ▶ Known fact: a connected regular graph with least eigenvalue  $> -2$  is either  $K_n$  or  $C_n$  (with  $n$  odd). If  $H = C_n$ , then it is 2-regular and from  $k^2 - k = 2$ , we have  $k = 2$ , a contradiction. Hence,  $H = K_n$

## Proof - regular case

- ▶  $|V(G)| = 2n$ ,  $A(G) = \begin{bmatrix} 0 & B \\ B^T & 0 \end{bmatrix}$
- ▶  $R(G) > \sqrt{k-2} \Rightarrow \forall \lambda_i = \lambda_i(G) : \lambda_i^2 > k-2$
- ▶ If  $E = BB^T - kI$ , then  $\lambda_i(E) > -2 \Rightarrow E + 2I$  is PD
- ▶ Diagonal entries of  $E + 2I$  are 2  $\Rightarrow$   
(by PD) all off-diagonal entries are 0 or 1  $\Rightarrow$   
 $E = A(H)$  and has min eigenvalue  $> -2$
- ▶ Since  $E\mathbf{j} = (BB^T - kI)\mathbf{j} = (k^2 - k)\mathbf{j}$ ,  $H$  is regular
- ▶  $G$  connected  $\Rightarrow H$  is connected
- ▶ Known fact: a connected regular graph with least eigenvalue  $> -2$  is either  $K_n$  or  $C_n$  (with  $n$  odd). If  $H = C_n$ , then it is 2-regular and from  $k^2 - k = 2$ , we have  $k = 2$ , a contradiction. Hence,  $H = K_n$
- ▶  $H = K_n \Rightarrow G$  is the incidence graph of a projective plane of order  $k - 1$ .

## Construction by graph covers

- ▶ Construction of graphs with “almost” extreme median eigenvalues: For any integer  $k$  for which  $k - 1$  is a prime power, there exist infinitely many connected bipartite  $k$ -regular graphs  $G$  with  $\sqrt{k - 2} - 1 < R(G) < \sqrt{k - 1} - 1$ :

Control of median eigenvalues among new eigenvalues

---

## Construction by graph covers

- ▶ Construction of graphs with “almost” extreme median eigenvalues: For any integer  $k$  for which  $k - 1$  is a prime power, there exist infinitely many connected bipartite  $k$ -regular graphs  $G$  with  $\sqrt{k - 2} - 1 < R(G) < \sqrt{k - 1} - 1$ :

Control of median eigenvalues among new eigenvalues

---

- ▶ Recent breakthrough [A. Marcus, D.A. Spielman and N. Srivastava, Interlacing families I: Bipartite Ramanujan graphs of all degrees, arXiv:1304.4132]:  
and their proof of the Kadison-Singer Conjecture in Part II

Control of  $\lambda_2$  among new eigenvalues